



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: _____

Date & Time Received: _____

Date & Time of Response: _____

Entity Requesting FRF: _____

Title of Project: _____

Administrative Oversight: _____

Amount of Funding Requested: _____

Eligibility Determination:

- ☐ FRF eligible
☐ FRF ineligible
☐ Additional information requested

FRF Eligibility Category:

- | | |
|--|---|
| <input type="checkbox"/> (1) Public Health and Economic Impact | <input type="checkbox"/> (2) Premium Pay |
| <input type="checkbox"/> (3) Government Services/Lost Revenue | <input type="checkbox"/> (4) Water, Sewer, Broadband Infrastructure |
- _____

U.S. Department of Treasury Reporting Expenditure Category: _____

- ☐ Missing Form
- ☐ Supporting documentation missing
- ☐ Project will not be completed by 12/31/2026
- ☐ Ineligible purpose
- ☐ Submitter failed to timely submit CARES reports
- ☐ Additional information submitted is insufficient to make a proper determination
- ☐ Expenditure Plan incomplete
- ☐ Funds will not be obligated by 12/31/2024
- ☐ Incorrect Signatory
- ☐ Inconsistent with applicable NN or federal laws

[illegible]

Name of DOJ Reviewer: _____

Signature of DOJ Reviewer: 

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

Page 2 of 2

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR GOVERNANCE-CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Governance-Certified Chapter requesting FRF: OJO ENCINO CHAPTER Date prepared: 02152023
 Chapter's mailing address: HCR 79 BOX 1500 phone & email: 5057312263; ojoencino@navajochapters.org
OJO ENCINO, NM 87013 website (if any): ojoencino.navajochapters.org
 This Form prepared by: GLORIA CHIQUITO phone/email: 5057312262
CHAPTER MANAGER gchiqto@nnchapters.org
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: OJO ENCINO CHAPTER BATHROOM ADDITION PROJECT

Chapter President: GEORGE WERITO, JR phone & email: 5057312263; georgeweritojr@gmail.com
 Chapter Vice-President: BRANDON SAM phone & email: 5057312263; bsam980@gmail.com
 Chapter Secretary: KYLE AUGUSTINE phone & email: 5057312263; augustinekyle@yahoo.com
 Chapter Treasurer: KYLE AUGUSTINE phone & email: 5057312263; augustinekyle@yahoo.com
 Chapter Manager or CSC: GLORIA CHIQUITO, CHAPTER MANAGER phone & email: 5057312262; gchiqto@nnchapters.org
 DCD/Chapter ASO: Myrtis Begay phone & email: msbegay@nndcd.org / 505 786 2092

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____ ☐ document attached

Amount of FRF requested: \$421,747.00 FRF funding period: OCTOBER 1, 2023 - DECEMBER 31, 2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

Ojo Encino Chapter Bathroom Additions Project, includes an addition, upgrade to Community Members existing bathroom. The funds will be used for Lumber, Plumbing and Electrical Supplies, to construct Bathroom Additions for the Ojo Encino Community Members. The Project will be located 30 miles SW of Cuba, New Mexico, in Ojo Encino Community, COVID-19 related needs will be met, by getting clean drinking water systems for 20 Homes and Hire 6 employees for this Project

☐ document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

The Ojo Encino Chapter Bathroom Additions Project will be Beneficial to our Local Communities that are in dire need for indoor plumbing. Ojo Encino Community will be receiving clean water and indoor plumbing within their homes

☐ document attached

(c) A prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026:

APPENDIX A

Ojo Encino Chapter will meet the timeline of December 31, 2026, for the completion of the Bathroom Additions Project with no phases. Purchasing of materials in bulk and supply chains will be challenging

Project Planning to begin on 10/01/2023, Design and Hiring of Chapter PEP for Project to begin 1/01/2024, Construct to begin 4/01/2024 and Completion of Project to be 12/31/2026

☐ document attached

(d) Identify who will be responsible for implementing the Program or Project:

Ojo Encino Chapter will be responsible for implementing the project in conjunction with Division of Community Development

☐ document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

Once the project is completed the Home Owner will be responsible of the maintenance and operation

☐ document attached

(f) State which of the 68 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

Other Public Health Services - 1.14

Ojo Encino Chapter Bathroom Additions Project will meet basic safety and health standards for community members. Pre-existing social vulnerabilities magnified by the COVID-19 pandemic, where home with no bathroom facilities and no water amplified the risk of infection

☐ document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Ojo Encino Chapter Resolution and all Budget Forms are Attached

☐ Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

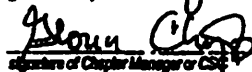
Chapter's
Preparer:


signature of Preparer/Chapter Preparer

Approved by:


signature of Chapter President or Vice President

Approved by:


signature of Chapter Manager or CSE

Approved by:


signature of BOD/Chapter ASO

Approved to submit
for Review:


signature of CEO Director

8/23/23

FY 2023

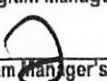

**THE NAVAJO NATION
PROGRAM BUDGET SUMMARY**

Page 1 of 3
BUDGET FORM 1



PART I. Business Unit No.: <u>New</u>		Program Title: <u>OJO ENCINO BATHROOM ADDITIONS PROJECT</u>		Division/Branch: <u>DCD/EXECUTIVE BRANCH</u>	
Prepared By: <u>GLORIA CHIQUITO, CM</u>		Phone No.: <u>(505)731-2263</u>		Email Address: <u>ojoencino@navajochapters.org</u>	

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
NN Fiscal Recovery Funds	10/1/23	421,747.00	100%	2001 Personnel Expenses	6	0	179,747	179,747
	to 12/31/2023			3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies	6	0	240,000	240,000
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance	6	0	2,000	
				6500 Contractual Services				
				7000 Special Transactions				
				8000 Public Assistance				
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		\$0.00	421,747.00	421,747

PART IV. POSITIONS AND VEHICLES	(D)	(E)
Total # of Positions Budgeted:	0	0
Total # of Vehicles Budgeted:	0	0

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.			
SUBMITTED BY: <u>James Adaki, Deputy Director</u>		APPROVED BY: <u>Calvin Castillo, DCD Executive Director</u>	
Program Manager's Printed Name		Division Director / Branch Chief's Printed Name	
 <u>5-31-23</u> Program Manager's Signature and Date		 <u>6.2.23</u> Division Director / Branch Chief's Signature and Date	

FY 2023THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIAPage 2 of 3
BUDGET FORM 2

PART I. PROGRAM INFORMATION:									
Business Unit No.: <u>New</u>		Program Name/Title: <u>OJO ENCINO BATHROOM ADDITIONS PROJECT</u>							
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM: <u>Resolution#OJOEN 02-07-2023/005</u>									
PART III. PROGRAM PERFORMANCE CRITERIA:									
		1st QTR		2nd QTR		3rd QTR		4th QTR	
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: <u>To install, upgrade 20 Bathroom Additions</u> Program Performance Measure/Objective: <u>Installation and Upgrading of 20 Bathroom Additions</u>		5		5		5		5	
2. Goal Statement: <u>To improve Unemployment Rate in Ojo Encino Community</u> Program Performance Measure/Objective: <u>Hire Qualified Carpenters to construct, installation for Bathroom Additions</u>		6							
3. Goal Statement: Program Performance Measure/Objective:									
4. Goal Statement: Program Performance Measure/Objective:									
5. Goal Statement: Program Performance Measure/Objective:									
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.									
<u>James Adaki, Deputy Director</u> Program Manager's Printed Name				<u>Calvin Castillo, DCD Executive Director</u> Division Director/Branch Chief's Printed Name					
 Program Manager's Signature and Date				 Division Director/Branch Chief's Signature and Date					

FY 2023

THE NAVAJO NATION DETAILED BUDGET AND JUSTIFICATION

Page 3 of 3
BUDGET FORM 4

PART I. PROGRAM INFORMATION:				
Program Name/Title:		OJO ENCINO BATHROOM ADDITIONS PROJECT		Business Unit No.: New
PART II. DETAILED BUDGET:				
(A)	(B)	(C)	(D)	
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)	
4000	Supplies			
	Supplies/Materials: Purchase Building and Plumbing Supplies	240,000	240,000	
2001	Personnel:			
	Hire 6 carpenters X \$14.00 per hour X 1920 Hours = \$161,280	179,747	179,747	
	Fringe Benefits: \$161,280 X 11.45% = \$18,466.56			
6000	Fuel/Diesel Purchase 2000	2,000	2,000	
TOTAL		421,747	421,747	

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

Page 1 of 1
PROJECT FORM

PART I. Business Unit No.: <u>NEW</u> Project Title: <u>OJO ENCINO CHAPTER BATHROOM ADDITION</u> Project Description <u>Upgrade Community Members existing Bathroom and Construct New Bathroom Additions</u> Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification															PART II. Project Information Project Type: <u>Community Development</u> Planned Start Date: <u>10/1/2023</u> Planned End Date: <u>12/31/2026</u> Project Manager: <u>Gloria Chiquito, Chapter Manager</u>																																																																																																																																																																																																																																																																												
PART III. List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.		PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc. <table border="1" style="width:100%; border-collapse: collapse; text-align: center;"> <tr> <th colspan="12">FY <u>2024</u></th> <th colspan="12">FY <u>2026</u></th> <th colspan="4">Expected Completion Date if project exceeds 8 FY Qtrs.</th> </tr> <tr> <th colspan="3">1st Qtr.</th> <th colspan="3">2nd Qtr.</th> <th colspan="3">3rd Qtr.</th> <th colspan="3">4th Qtr.</th> <th colspan="3">1st Qtr.</th> <th colspan="3">2nd Qtr.</th> <th colspan="3">3rd Qtr.</th> <th colspan="3">4th Qtr.</th> <th colspan="4">Date <u>12-31-2026</u></th> </tr> <tr> <th>O</th><th>N</th><th>D</th><th>J</th><th>F</th><th>M</th><th>A</th><th>M</th><th>J</th><th>Jul</th><th>A</th><th>S</th> <th>O</th><th>N</th><th>D</th><th>J</th><th>F</th><th>M</th><th>A</th><th>M</th><th>J</th><th>Jul</th><th>A</th><th>S</th> <th>O</th><th>N</th><th>D</th><th>J</th><th>F</th><th>M</th> </tr> <tr> <td colspan="3" style="background-color: black;">Plan</td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="4"></td> </tr> <tr> <td colspan="3"></td> <td colspan="3" style="background-color: black;">Design</td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="4"></td> </tr> <tr> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3" style="background-color: black;">Construct</td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="4"></td> </tr> <tr> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3" style="background-color: black;">Completion</td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="3"></td> <td colspan="4"></td> </tr> <tr> <td colspan="2" style="text-align: left;">PART V.</td> <td colspan="2"></td> <td colspan="2">\$</td> <td colspan="2">\$</td> <td colspan="2">\$</td> <td colspan="2">\$</td> <td colspan="2">\$</td> <td colspan="2">\$</td> <td colspan="2">\$</td> <td colspan="2">\$</td> <td colspan="2">\$</td> <td colspan="2">\$</td> <td colspan="4">PROJECT TOTAL</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Expected Quarterly Expenditures</td> <td colspan="2">50,000.00</td> <td colspan="2">75,000.00</td> <td colspan="2">147,500.00</td> <td colspan="2">147,500.00</td> <td colspan="2">1,747.00</td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="2"></td> <td colspan="4">\$421,747.00</td> </tr> </table>																												FY <u>2024</u>												FY <u>2026</u>												Expected Completion Date if project exceeds 8 FY Qtrs.				1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			Date <u>12-31-2026</u>				O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	Plan																															Design																															Construct																															Completion																			PART V.				\$		\$		\$		\$		\$		\$		\$		\$		\$		\$		PROJECT TOTAL						Expected Quarterly Expenditures		50,000.00		75,000.00		147,500.00		147,500.00		1,747.00												\$421,747.00			
FY <u>2024</u>												FY <u>2026</u>												Expected Completion Date if project exceeds 8 FY Qtrs.																																																																																																																																																																																																																																																																			
1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			Date <u>12-31-2026</u>																																																																																																																																																																																																																																																																			
O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M																																																																																																																																																																																																																																																														
Plan																																																																																																																																																																																																																																																																																											
			Design																																																																																																																																																																																																																																																																																								
						Construct																																																																																																																																																																																																																																																																																					
									Completion																																																																																																																																																																																																																																																																																		
PART V.				\$		\$		\$		\$		\$		\$		\$		\$		\$		\$		PROJECT TOTAL																																																																																																																																																																																																																																																																			
		Expected Quarterly Expenditures		50,000.00		75,000.00		147,500.00		147,500.00		1,747.00												\$421,747.00																																																																																																																																																																																																																																																																			

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____



OJO ENCINO CHAPTER
HCK 79 BOX 1500, OJO ENCINO, NEW MEXICO 87013
PHONE (505)731-2263 or 731-2262; FAX (505)731-1516
EMAIL: ojoencino@navajochapters.org

RESOLUTION OF OJO ENCINO CHAPTER

RESOLUTION# OJOEN 02-07-2023/005

APPROVING IN SELECTION OF THE OJO ENCINO CHAPTER PRIORITY PROJECT LISTING FOR AMERICAN RESCUE PLAN ACT FUNDING INCONCLUSION OF OJO ENCINO CHAPTER DELEGATE REGION IN THE AMOUNT OF 1,100,000.00 MILLION.

WHEREAS:

1. Pursuant to 26 N.N.C., section 3 (A) the Ojo Encino Chapter is a duly recognized certified chapter of the Navajo Nation Government, as listed at 11N.N. C. part 1, section 10 and:
2. Pursuant to 26 N.N. C., Section 1 (8) Ojo Encino Chapter is vested with the authority to review all matters affecting the community and to make appropriate corrections when necessary and make recommendation to the Navajo Nation and other local agencies for appropriate actions, and
3. The Ojo Encino Chapter has identified four (4) projects for the American Rescue Plan Act funding in the amount of 1.1 million, which as follows; and
 1. Ojo Encino Community Waste Water Construct in the amount of **\$250,000.00**
 2. Ojo Encino Chapter Bathroom Addition in the amount of **\$421,747.00**
 3. Ojo Encino Septic Clean Out and Repair Failed Septic Systems **\$102,000.00**
 4. Ojo Encino Chapter Renovation in the amount of **\$326,253.00**
4. The Ojo Encino Chapter requests the Navajo Nation Fiscal Recovery Fund Office, Division of Community Development, and the Department of Justice to consider the four (4) projects For Ojo Encino Community.
5. The Ojo Encino Chapter affirms that the Chapter will only use awarded Fiscal Recovery Funds (FRF) and implement this FRF Expenditure Plan in compliance with the ARPA, ARPA regulations, and with all applicable Federal and Navajo Nation Laws, Regulations and Policies.

NOW, THEREFORE BE IT RESOLVED THAT:

1. The Ojo Encino Chapter hereby proposes, supports and approves the requests for funding through the American Rescue Plan Act; and

CERTIFICATION

I HEREBY CERTIFY THAT THE FORGOING REOLUTION WAS CONSIDERED BY THE MEMBERS OF THE OJO ENCINO CHAPTER AT A DULY CALLED MEETING AT THE OJO ENCINO CHAPTER HOUSE AT WHICH A QUORUM WAS PRESENT, AND THAT THE SAME WAS PASSED BY VOTE 18 IN FAVOR, 00 OPPOSED, AND 02 ABSTAINING, THIS 7th DAY OF FEBRUARY, 2023

Motion: Nancy Largo

Second: Curtis Lopez

George Werito Jr, Chapter President

George Werito Jr., Chapter President
Brandon Sam, Chapter Vice President
Kyle Augustine, Chapter Secretary/Treasurer

Gloria Chiquito, Chapter Manager
George Toth, Council Delegate
Daniel Augustine, Land Board Member